

# Privacy Policy

Effective Date: September 30, 2011

Last Updated: July 21, 2022

Samsung Securities Co. Ltd. (the "Company") respects the privacy of your personal information and will treat it confidentially and securely. We are obliged to comply to the Personal Information Protection Act, the Act on Promotion of Information and Communications Network Utilization and Information Protection and other related legislations and regulations. In this policy the Company declares how and to what purpose your personal information is used, and what measures are taken to protect your privacy.

In accordance with Article 30 of the Personal Information Protection Act, the Privacy Policy has been established and the contents are disclosed on the website. And the Company and its partners strictly comply with the policy.

This policy informs you the use and protection measures of personal information of the Company.

Any personal information provided by you to the Company through this website, the consent form on the collection/utilization/provision of personal (credit) information, wired/wireless calls, e-mails etc. will be used for the purposes stated in this policy statement, including the sales and promotion of products and services either requested or potentially of interest, better understanding our customers' needs, gathering customer feedback, providing employment opportunities, and any purposes required by applicable legislations and regulations. In this aspect, your information provided to the Company will be a valuable asset in improving the Company's products and services. The Company, clients of the third-parties on behalf of the Company, suppliers and partner companies are subject to this policy in terms of private data processing.

The Company shall retain personal information until the original objective has been accomplished. The information is then destroyed unless its retention is required to satisfy legal, regulatory, accounting requirements and/or to protect the Company's interests. As a general rule, the retention period shall be the minimum retention period of the relevant jurisdiction.

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#### **Article 1. Information Collected and Treated**

The Company will collect the minimum level of required personal information as you register for the Company's services.

Where appropriate and legally permissible, the Company may collect any of the following personal information including, but not limited to, your ID, password, full name, Resident Registration Number, address, contact information, phone number and E-mail address, including "cookies" (see Article 9) when you access or use the services of the Company via this Web Site. However, certain optional information such as occupation, hobbies, interests etc. is not required and therefore will not affect the usage of services.

The Company collects and uses essential and optional information to provide products and services as follows.

You have the right to refuse the collection and usage of your personal information such as the below; however your refusal to provide required information or identification information will lead to certain limitations in accessing the Company's financial services such as the denial to open accounts.

The Company cannot deny service to customers who have refused to provide consent on the collection and usage of optional information.

#### 1. Personal information of customers and those concerned in financial transactions

##### A. Essential Information (common)

- Essential information (common) is the minimum information inevitably required for opening an account or concluding and implementing a product contract.

1) Personally identifiable information: unique identification such as name and resident registration number (including date of birth), address, contact information, occupation, nationality, resident status, online ID, password, e-mail, and encrypted Connecting Information (CI)

2) Information related to transaction medium (Automatically collected items): device type, IP address, MAC address, CPU/HDD information, device identification number such as UUID, online service subscription information such as ID and identity verification questions and answers, cookies, date and time of visit, use records of service, etc. (limited to the case of online financial transaction), application information

3) Customer confirmation information (CDD/EDD): transaction purpose, expected transaction, and information on related parties such as agents and clients, etc.

4) Financial transaction information: transaction setting details such as product and service types, transaction conditions, transaction date and time, amount, etc., account numbers of other financial institutions (limited to the purpose of opening non-face-to-face accounts), and other generated information, etc.

5) Those concerned such as agents, etc.: name, unique identification information (including date of birth), address, contact information, occupation, nationality, and the relationship with the party, email, gender etc.

6) Personal information of an application, Today's Investment, users

- Name, resident registration number, address, mobile phone number, behavioral information (device ID, access IP, investment propensity, products of interest, keywords of interest, date of visit to the application, device type and model number, OS version, screen used and time)

##### B. Essential Information (by product)

- Essential information (by product) is the minimum information that is absolutely necessary to provide the relevant financial transaction products and services.

1) Worker's asset building savings, income tax deduction long-term fund, Individual Savings Account (ISA)

- Tax break information: annual income, income class, application of youth (15-29 years old), military service, and farmer and fisherman, etc.

2) Tax-free savings

- Requirement information for subscription: application of disabled person, national meritorious person, dead defoliation syndrome patient, wounded person from May 18 Democratization Movement

3) Personal pension (pension savings), retirement pension (DC/IRP)

- Tax-excluded amount information: income tax amount from pension savings by year

4) Bancassurance

- Insurance-related information: information on the insured's illness/injury, insurance contract information, insurance payment-related information (including accident information), etc.

5) Credit transaction products (credit/loan/derivatives/accrued transaction)

- Overdue information, etc.: credit rating information, public information, etc.

C. Optional Information

- Optional information is the one for providing additional services on top of financial transaction products and services.

\*Additional information such as workplace name, position, date of birth, marital status, wedding anniversary, family information, financial assets, income information, hobbies, sales channel, transaction motive, etc.

2. Personal information of prospects

- Personal information of prospects may vary depending on the purpose of collection and use.

1) Essential information: customer name, mobile phone number, e-mail, etc.

2) Optional information: address (home/workplace), date of birth, marital status, occupation, hobbies, etc.

## **Article 2. Purpose of Treating Personal Information**

To the extent legally permissible, the Company collects and uses personal information for the purpose stated in the preamble. The Company will specifically collect your personal information for the following purposes:

### 1. Financial transaction customers and those concerned

#### A. Essential Information (common, by product)

- Membership registration and user ID issuance, identity verification for using membership services, confirmation of contract conclusions such as trading of depository securities, delivery of notices, smooth communication for handling complaints and grievances, etc., provision of documents confirming the Company's usage status such as customer information management, audit, monitoring, transaction statement, balance confirmation, etc.
- Consignment of call center operation to stop payment to telecommunications financial fraudulent accounts, identity verification (personal authentication), consignment of debt collection, VAN (CD/ATM cash withdrawal, CMS automatic transfer, etc.), sending balance and transaction history reports, etc., opening an account linked to a financial institution (consignment of real-name verification), storing documents, sending text messages, etc.
- Collection of usage statistics by measuring access frequency to the website, etc. and reflection of them in service policies (service reorganization and expansion)
- Users of the Today's Investment application: membership registration, issuance of user ID, and identification for membership service use
- Application information : Collection of Cyber Threat Information

#### B. Optional Information

- Product/service introduction and suggestion for sales, gift-giving and promotional events, market research and product R&D, service-related customer consultation and statistics, provision of additional services, etc.

### 2. Prospects

- Provision of financial services and investment information, use of retirement planning system, and sending gifts for events/briefings

## **Article 3. Consent to and Method of Treating Personal Information**

In case the Company intends to collect, use, or provide your personal (credit) information, we receive your consent to your personal information (consent of a legal representative for children under the age of 14) by obtaining a consent form on the collection/utilization/provision of personal (credit) information through online, wired calls, or visits.

#### **Article 4. Treatment and Retaining Period of Personal Information**

The Company retains the personal information collected from you from the date of consent to the termination of the (financial) transaction, and immediately destroys the information after the purpose is achieved.

1. Personal (credit) information related to financial transactions is retained and used for up to 5 years from the end of (financial) transaction since the date of consent.
2. Personal (credit) information for marketing such as providing product services is retained and used from the date of consent until the end of (financial) transaction or withdrawal of consent.

However, in the case of information deemed necessary to fulfill the obligations under the relevant laws such as the Commercial Act, the FINANCIAL INVESTMENT SERVICES AND CAPITAL MARKETS ACT (retained for more than 10 years) and related regulations in regard of financial accident investigation, dispute resolution, civil complaint handling, and related tax laws or to respond to requests and orders from other supervisory authorities, the Company can use the information for submission without destroying it.

Personal information collected from prospects is retained and used for one years for the purpose of introduction and sale of products and services, gift-giving and promotional events to customers, market research, and product development and research.

#### **Article 5. Provision to and Co-ownership of Personal Information with Third Parties**

The Company and partner companies do not use your personal information other than as set forth in this Privacy Policy Statement or share such information with third parties except with your permission or allowed under applicable laws and regulation or where the sharing of such information is necessary for the provision of certain services or products offered by the Company to you.

When we do provide and share your personal information, we notify each customer individually, either by e-mail or postal documents, the receiving or shared party, your personal information of matter, what kind of businesses the receiving or shared party is engaged in, what purposes your personal information will be used for, etc.

The Company provides certain parts of your personal information to recommend investment products, provide affiliated services etc. The following is a list of the affiliated concerns.

**[Status of third parties such as affiliates] <- [Click here for detailed company lists.](#)**

However, the Company needs you to acknowledge that in the following cases the Company may provide your personal information without consent and according to related laws:

1. Processing information in a format where individuals cannot be identified, for statistical reports, academic research and/or market research according to relevant Statistics Act articles
2. Fulfill legal obligations in relevant regulations such as the Act on Real Name Financial Transactions and Guarantee of Secrecy, Use and Protection of Credit Information Act, Framework Act on Telecommunications, Telecommunications Business Act, Local Tax Act, Consumer Protection Act, Bank of Korea Act, Criminal Procedure Act, etc.
3. To follow government (including quasi-government authorities) and/or court orders where personal information is summoned

Your personal information provided to affiliates will be retained and treated until the purpose of use is achieved (service expiration, customer consent withdrawal, cancellation or termination of contract, etc.). When the purpose of use is fulfilled, it will be destroyed without delay. However, personal information with respect to the information deemed necessary for the fulfillment of obligations under the relevant laws or regulations, such as the Commercial Act, the Act on Financial Investment Services, and the related tax law, may not be destroyed, and be used for submission, etc.

#### **Article 6. Consigning Treatment of Personal Information**

The Company and our partner companies entrust some of personal information processing works to external specialized companies in order to comply with the laws, fulfill contracts, enhance services or make the works on track. When signing a consignment agreement, we stipulate that the ban on personal information processing, technical and managerial protection measures, the restriction on reassignment, the management and supervision of trustees, and responsibilities such as compensation for damages in the document. We also educate trustees to prevent the personal data of information subjects from being lost, stolen, leaked, forged, modified or damaged due to the consignment while conducting on-site inspections for the supervision of the trustees to ensure that the information is safely processed.

The list of consignees is as follows.

**[Trustees] <- [Click on the button for more details](#)**

## **Article 7. Rights and Duties of Information Subjects and Statutory Representatives and Exercising Methods**

- You may access or rectify your information registered online, such as our website, and check the history of your data used by the Company or the third-parties.

The followings are your rights and obligations regarding personal data access, correction, deletion, and methods of exercising of them.

### 1. Access to Personal Information

- You have access to personal data the Company deals with, but the Company may refuse to give you access for the following reasons.
  - In case of data access prohibited or restricted by the laws
  - In case of data access having a possibility of hurting the lives or bodies of other people or of infringing on the assets or other interests of them
- For access to your information, you may go to our website, 'online branch > customer/account information management > customer information > personal information inquiry, or visit any branch in person. You can also call our Family Center (1588-2323).

### 2. Personal Data Rectification and Deletion

- You may request the Company to correct and delete your information that the Company handles. However, when there is a need to preserve data for the fulfillment of the duties imposed by the relevant laws or upon request from other supervisory authorities, we separate and conserve the data while deleting and disposing of the other unnecessary information.
- You may correct your personal information by yourself on our website, 'online branch > customer/account information management > customer information > personal information inquiry /correction.
- For the deletion of personal information, you have to visit a branch in person and fill out 'the form of request for personal information deletion.'

### 3. Request for the Suspension of Personal Information Processing

- You may request the Company to suspend the processing of personal data the Company owns. The Company may refuse to the request for the following reasons.
  - In case that there are special provisions stipulated in the laws or the processing is inevitable to discharge the duties imposed by the laws.



- In case of data access having a possibility of hurting the lives or bodies of other people or of infringing on the assets or other interests of them
  - In case that personal information processing is required to fulfill a contract, such as the provision of services agreed by information subject, and the subject does not show his or her intention to terminate the contract.
  - You may visit a branch and fill out the 'request form for the suspension of personal data processing'
4. Access to Personal Information Use and Provision
- You may check the details of your information used for the purposes of marketing activities and events or provided for the third-parties. The details are available on our website, online branch > customer/account information management > customer information > personal information use/provision inquiry/.
5. Request for the Withdrawal of Consent to Personal Data Collection, Use, and Provision
- You may withdraw your consent to what you have agreed when you set business relations through account opening and became a member of the Company. For the withdrawal of the consent to your data collection, use, and provision, go to our website, online branch > customer/account information management > customer information > personal information use/provision inquiry and correction.

However, the withdrawal of some parts of consent could lead to a restriction on the trade of products, so the withdrawal could not be promptly processed online (website). In this case, you may visit a branch in person or call us for the retraction.

The collection of personal data of children below 14 or the provision of the information of such children for the third-parties requires the consent of their statutory representatives. In this case, the Company may request the children to give us the information of their statutory representatives, such as their name.

Statutory representatives may withdraw the consent to the personal data of the children and request the Company to give access to the children's personal data or rectify wrong information.

The Company does not provide or share the information of children with the third-parties without the consent of statutory representatives. When statutory representatives request the Company to correct wrong information, the use and provision of such personal data will be banned until the rectification is made.

#### **Article 8. Procedures and Methods of Personal Data Destruction**

The Company destroys personal information within five days from the date when the information is not required any more for the following reasons; the expiration of the retention period of personal information, the fulfillment of the

purpose of the information, the abolition of the service or the termination of the business, unless there is a justifiable reason.

The Company destroys personal data in the following ways.

- Electronic files: Destroyed permanently to make it impossible to be recovered
- Printouts, documents, and other record media: Shredded or incinerated

When personal information is required to be preserved for the fulfillment of duties imposed by the relevant laws including the Act on Financial Investment Services and the Act on Credit Information, or upon request from the supervisory institution, the Company takes necessary measures to store and manage the information using physical or technical methods.

- Items of personal data separately preserved: Name, unique identification information, mobile phone number, address, email address, account information, etc.

#### **Article 9. Installation, Operation and Denial of Automatic Personal Information Collectors**

The Company deploys 'cookies' which regularly finds and stores your information. A 'cookie' is a small text file which is sent to your browser by the server that operates the Company's website, and is saved in your computer's hard disk which can only be retrieved and viewed by the original server.

The Company uses cookies to :

1. Analyze per-user access frequency or visiting time and understand the users' preferences and products of interest, thereby quantifying measures to perform targeted marketing and to reform services.
2. Track information regarding stock trades, financial services and browsed items of interest on the Company's website, thereby allowing the Company to provide personalized services in future transactions.
3. Collect information on your participation and visit frequency to the Company's promotion events, thereby providing personalized promotion participation opportunities and differentiated information relating to personal preferences.

You have the right to disable the use of cookies. You may permit every cookie, confirm cookies each and every time, or refuse any cookies by setting up your Internet browser accordingly.

If you do not want your personal information gathered via cookies, you may disable cookies by the following means:

How to accept/block cookies

(Based on Internet Explorer)

- ① Click [Tools], [Internet Options] in the menu bar

- ② Click the [Privacy] Tab
- ③ Click on [Setting-Advanced Tab]
- ④ Select whether to accept/block cookies

(Based on Chrome )

- ① Select [Setting] menu
- ② Click on [Privacy and security]
- ③ Click on [Site Settings – Cookies and other site data]
- ④ Select whether to accept/block cookies

How to view received cookies

- ① (Based on Internet Explorer)
- ② Select [Internet Option] within the [Tool Menu]
- ③ Click [Settings] in the [Browsing History] section of the [General] Tab
- ④ Click [View Files]

(Based on Chrome)

- ① Select [Setting] menu
- ② Click on [Privacy and security]
- ③ Click on [Cookies and other site data]
- ④ Click [See all cookies and site data]

However, if you disable cookies from being installed or used, you may not have access to certain services of the Company.

## **Article 10. Measures to Secure the Safety of Personal Information**

The following summarizes the Company's managerial, technical and physical security measures, such as internal management planning and access log recording, to ensure the safety of your personal information and prevent any leaks, thefts, losses, forgeries and/or damages.

In order to fulfill our responsibility regarding personal data protection, the Company conducts regular inspections on the status of personal information protection and reports the results to the Chief Information Security Officer. The risks

of personal data are managed through our operational risk management system at the Company level. In addition, we determine the level of punishment for those violating our personal protection policies based on the zero-tolerance approach.

The Company adopts technical, managerial and physical methods as follows with the purpose of safely protecting personal information.

### 1. Managerial Measures

- A. Internal management plans to ensure safe treatment of personal information
- B. Training plans and programs for employees and consignees handling personal information
- C. Periodic inspections according to internal management plans
- D. Conducting inspections for the compliance with personal information protection policies.
- E. Conducting the evaluation of information protection and the annual audit on corporate-wide data system security conducted by the third-party specialized institution designated by the law

### 2. Technical Measures

- A. Discriminated system access authorities according to job responsibilities
- B. 24-7 operation of an intrusion detection & interception system to prevent illegal access and intrusions through IT network
- C. Log management systems and periodic backups of system access records, augmented with document encryption solutions (DRM) to prevent thefts and leaks of personal information documents
- D. Installation and operation of security programs such as antiviruses to diagnose/treat personal computers for viruses and malwares
- E. Significant personal information (resident registration number, account number, etc.) and passwords are encrypted for storage and management.

### 3. Physical Measures

The Company operates security systems and equipment at the facilities entrances at all times to prevent unauthorized access. The Company's headquarters, every office and branch operate a 24-hour CCTV system and a security system managed by a private security company; restricted areas are equipped with separate locking systems to permit only authorized personnel.

## **Article 11. Officer and Department Responsible for the Protection and Management of Personal Information**

The Company has a management officer of personal information to protect your privacy and handle complaints related to such issues. If you have any questions about your personal information, please contact the management officer and the relevant departments below. The Company will provide a prompt and sincere response.

Chief Information Security Officer (CISO)

- Name: Do Hyung Kim,
- Division/position: CISO/Managing Director
- Tel: 02-2020-8485

Department in charge of personal information protection

- Name: Bang Sung Min
- Team: Information Protection Team
- Tel: 02-2020-8484
- FAX : 02-2020-8148

## **Article 12. Inquiries and Reports on Infringements of Personal Information**

In case you need to file a report or make an inquiry regarding infringements of personal information, please call KISA(Korea Internet & Security Agency)'s Privacy Infringement Center.

Privacy Infringement Center

- Homepage : [privacykisa.or.kr](http://privacykisa.or.kr)
- Tel: 118 (no extension)
- Address : 9, Jinheung-gil, Naju-si, Jeollanam-do, Republic of Korea

Personal Information Dispute Mediation Committee

- Homepage : [www.kopico.go.kr](http://www.kopico.go.kr)
- Tel : 1833-6972
- Address : 209, Sejong-daero, Jongno-gu, Seoul, Republic of Korea

SUPREME PROSECUTOR'S OFFICE : 02-3480-3573 ([www.spo.go.kr](http://www.spo.go.kr))

Korean National Police Agency Cyber Bureau : 182 (<https://cyberbureau.police.go.kr>)

### **Article 13. . Department of receiving and processing the claim of Access to Personal Information**

The Company endeavors to process the data subject's claim of access to his own information immediately.

- Team : Samsung securities Family Center
- Tel : 1588-2323

### **Article 14. Standards on Additional Use and Provision of Personal Information**

In accordance with laws and regulations, the Company may additionally use or provide personal information to the extent reasonably related to the purpose of collection without data subjects' consent.

The Company takes into account the following for additional use and provision of personal Information.

- Whether it is reasonably related to the original purpose for which the personal information was collected
- Whether additional use or provision of personal information is foreseeable in light of the circumstances under which the personal information was collected and processing practices
- Whether additional use or provision of personal information does not unfairly infringe on the interests of the data subject
- Whether the measures required to ensure security such as pseudonymization or encryption have been taken.

### **Article 15. Processing of Pseudonymous Data**

The Company processes pseudonymized information to the following purpose. The Company manages to delete additional information needed for restoration to the original state and destroys the information in order not to restore at the expiration of the period for retaining. The Company records and keeps the processing of pseudonymized information.

- Purpose : N/A
- Term for keeping and use : N/A
- Data pertaining to provision : N/A

- Consignees : N/A
- Personal Data : N/A

1. Managerial Measures : Internal management plans to ensure safe treatment of personal information
2. Technical Measures : Discriminated system access authorities according to job responsibilities
3. Physical Measures : The Company operates security systems and equipment at the facilities entrances at all times to prevent unauthorized access.

#### **Article 16. Notification Liabilities Regarding Changes in the Privacy Policy**

This Privacy Policy Statement was last updated on the 30th of September, 2011. If the contents of the Privacy Policy Statement is added, deleted or amended due to a change of laws, policy or security technology, a notice of such change(s), modification(s) or amendment(s), and its(their) reasons will be announced by the Company before the amended Privacy Policy Statement takes effect.

Current version of personal information protection policy: v2.0.9

Initial Date of Efficacy: Sept. 30, 2011

Updated: July 21, 2022

Revision history of the policy on personal information processing]

Affiliates and Trustees

Affiliates

The Company provides some parts of personal information for our affiliates to introduce our financial products or render affiliated services. The followings are our affiliates.

<b>Company</b>	<b>Purpose of the Provision of Information</b>	<b>Information Provided</b>
<b>Hanwha Life Insurance</b>	Bancassurance (insurance contract)	Name, address, resident registration number, contact details, occupation, settlement bank, account number, medical history
<b>DB Life Insurance</b>		
<b>MetLife</b>		
<b>Samsung Life Insurance</b>		
<b>Shinhan Life Insurance</b>		
<b>ABL Life Insurance</b>		
<b>DGB Life insurance</b>		
<b>HANA Life Insurance</b>		
<b>Hungkuk Life Insurance</b>		
<b>AIA Group Limited</b>		
<b>Kyobo Lifeplanet Life Insurance</b>		
<b>Kyobo Life Insurance</b>		
<b>Mirae Asset Life Insurance</b>		
<b>NongHyup Life Insurance</b>		
<b>KB Life Insurance</b>		
<b>Samsung Card</b>	CMA partnership	Name, address, resident registration number, contact details, settlement bank, account number
<b>KB Kookmin Card</b>		
<b>Lotte Card</b>		
<b>Shinhan Card</b>		
<b>VIP Asset Management</b> <b>TAURUS Investment Management</b> <b>UNISTORY Asset</b>	Advisory service (Whether right recommendations regarding investment are made or not, etc.)	Name, date of birth, contact details, investment prosperity individual/corporate status, product types recommended for investment and product names, the date of



<b>Management</b>		investment recommendation, and the branch and the manager in charge
<b>Daesung Private Equity</b>	Trust agreement with Small and Medium Enterprise Establishment Investment Association  (taxation on aggregate income and transfer income tax report)	Name, resident registration number, contact details, address, and transaction records
<b>KOLON INVESTMENT</b>	Trust agreement for Korea Venture Investment Association  (taxation on aggregate income and transfer income tax report)	Name, resident registration number, contact details, address, and transaction records
<b>SBI Investment Korea</b>		
<b>DAYLI Partners</b>		
<b>UTC Investment</b>		
<b>Intervest</b>		
<b>SV INVESTMENT</b>		
<b>Stonebridge Ventures</b>		
<b>bluepoint</b>		
<b>Mirae Asset Ventures</b>		
<b>STIC Ventures</b>		
<b>HB INVESTMENT</b>		
<b>GC INVESTMENT</b>		
<b>Meritz se</b>		
<b>IMM INVESTMENT</b>		
<b>Investment advisory firms contracted for advisory service</b>  (Dimension, PLAIN VANILLA INVESTMENT, Vine Golden Tree Investment Advisory, INMOST INVESTMENT ADVISORY CO. LTD, R&A Investment Management Co. Ltd, tobpartner, KoreaWM,	Contract with advisory firms for advisory service (investment recommendations, etc.)	Name, date of birth, contact information (phone number, e-mail), address, customer investment propensity, MP total balance the relevant advisory firm, account number, MP transaction details, installment contract status, commission status

<p>Alternative Asset Management, HanVit Trust Investment Advisory Co., Winners Investment Management Co. LTD., Dooin Investment Advisory.Co.Ltd, Korea Pension Consulting Inc., Doomoolmori, Regnum Capital Management Inc., Balboa Investment, Liam Group, Invex Capital Management Co. Ltd., IRUDA, leesang Investment Advisory, GB Investment Advisory, Fin Asset investment advisory Co., Link Investment Advisory Co, Tech Fin Investment LTD., Cheonggarguri Investment Advisory, Foresight, Thehan Investment Advisory Co.,Ltd., Daeduck Asset Management, Cham Financial Advisory, Meritz Asset Management, Proud Investment Advisory, wealth invest, RootN Global Investors)</p>		
<p><b>Internal Revenue Service (IRS)</b></p>	<p>Submission of tax documents regarding income generated in the U.S.</p>	<p>Name, date of birth, address, contact information, SSN or ITIN, FTIN, amount of income, type of income, the amount withheld, and other IRS requested data</p>
<p><b>The One Tax Consulting</b></p>	<p>Transfer income tax for foreign stock, tax</p>	<p>Name, unique identifiable information,</p>

Joy Tax	return agent service	address, account number, overseas stock transaction history, contact information
Samsung Tax Consulting		
Han Kyung Tax Accounting Corp.		
Value Partner Tax Corporation		
YEOSOL		
DARIM		
Anguk Glotax		
NICE Information Service	Overdue information and credit rating evaluation, etc.	Unique identifiable information including resident registration number, uncollected (receivables) information, credit rating information such as delinquency level, credit transaction information such as loans, public information, etc.
Korea Credit Information Service		
KCB		
Korea Federation of Banks	Check of limits for financial institution's annuity products	Unique identifiable information including resident registration number
Overseas Exchanges (ICE, CME, EUREX, NYSE, HKEX, etc.) Futures Commission Merchant (FCM), overseas supervisory authorities (SFC, CSRC, CFTC, BaFin, etc.)	Request for data from overseas exchanges due to trading of overseas stocks or derivatives	Name, nationality, address, account number, transaction information, unique identifiable information (excluding resident registration number), etc.
NAVER FINANCIAL Corp.	Partnership with Naver Pay Point Service	Last four digits of the account number, information on points

<b>KB Kookmin Card</b>	Provision of information on the balance and transaction history of the Liiv Mate-linked account and information for earning points	Account number, balance and transaction details, point information
<b>BGF Retail</b>	Provision of information for points to Pocket CU-linked accounts through transactions such as stocks and financial products	Member ID, point information
<b>Samsung Fire &amp; Marine Insurance</b>	Group insurance management for ETF (Aisarang) service	Name, resident registration number
<b>Samsung Card</b>	Utilization and evaluation of customer information for card review	CI value, total assets, 6-month average assets, financial income amount
<b>Samsung Card</b>	monimo service	monimo customer number, online customer(Y.N)
<b>Samsung Life Samsung Fire &amp; Marine Insurance Samsung Card</b>	Matters pertaining to provision such as guidance to products and services etc	CI, DI, monimo customer number, occupation, data of financial transactions(stock, fund, bond etc)
<b>KFTC &amp; Open Banking Company</b>	Open Banking Service	Name, date of birth, contact information, email, CI, account number
<b>dunamu</b>	(un)listed stock information providing platform	Deposit, , data of financial transactions
<b>KT moving</b>	Change of address service  (providing Samsung Securities with information on customers who apply for the change of their address, and informing the customers of the results of the change)	Name, Resident Registration Number, email address, cell phone number, address, phone number
<b>KRX</b>	The exchange to carry out supervision of	Name, address, contact information, email,

<b>NTS</b>	abnormal gold transactions in KRX and members as well as measures etc	Domestic residence report number, account number, Gold transaction history
<b>WireBarley</b>	Small international money transfer service	Name, English name, customer ID, online service ID, nationality, date of birth, contact details, account number, balance
<b>Hanwhawm</b>	new technology project investment partnership	Name, amount of investment, representative [agent](Name, date of birth, contact information, relation to the person )
<b>KSD</b>	Restricted tax rate applied when paying dividends for KDR stocks	English name, English address, country of residence code, mynumber(Country of residence Japan only)

### Consignees

We outsource some of personal information processing affairs to external specialized companies to provide better services and fulfill contracts in a smooth manner. The followings are consignees dealing with your personal information.

<b>Name of Entity</b>	<b>Consigned Tasks</b>	<b>Information Offered</b>
<b>Brink's Korea</b>	Inquiry of CD/ATM transactions and balance	Name, account number
<b>ATM PLUS INC.</b>		
<b>HYOSUNG T&amp;S</b>		
<b>NICE TCM</b>		
<b>HANNET</b>		
<b>Korea Seven Co., Ltd.</b>		
<b>Samsung SDS</b>	Firm banking service (direct debit, etc.) brokerage	Name, account number, date of birth
<b>COOCON Corp.</b>		
<b>HYPHEN</b>		
<b>Toss Payments</b>		
<b>SK Inc.</b>		
<b>Hecto financial</b>		
<b>Trans-Cosmos Korea</b>	Sending wrap service management reports, month-end balance and transaction record, and branch DMs	Name, address, account number, transaction record, balance
<b>A&amp;D Co. Ltd.</b>	Recovery of credits	Name, Resident Registration Number,

		address, contact number, account number, balance of amount receivable, transaction record
<b>Daol Credit</b>	Inquiry of property	Name, Resident Registration Number, address, contact number, account number, balance of amount receivable, transaction record
<b>Tongjin Safe Warehouse</b>	Document preservation	Name, Resident Registration Number, account number, address, contact number, financial transaction record
<b>SK m&amp;service</b>	Sending mobile gifts	Cellphone number
<b>KT alpha</b>		
<b>Korea Post</b>	Opening of accounts linked to the bank	Account number
<b>Korean Federation of Community Credit Cooperatives</b>		
<b>KB Kookmin Bank</b>		
<b>NongHyup Bank</b>		
<b>Woori Bank</b>		
<b>IBK (Industrial Bank of Korea)</b>		
<b>Standard Chartered Bank Korea</b>		
<b>Citibank Korea</b>		
<b>Busan Bank</b>		
<b>BNK Kyongnam Bank</b>		
<b>Kwangju Bank</b>		
<b>DGB Daegu Bank</b>		
<b>K Bank</b>		
<b>KEB Hana Bank</b>		
<b>Shinhan Bank</b>		
<b>Tami Marketing</b>		
<b>M &amp; Wise Ltd.</b>	Sending text messages	Cellphone number
<b>InforBank Corp.</b>		
<b>BGF Network</b>		
<b>MTS</b>		

<b>SEJONG Telecom</b>		
<b>SK broadband</b>		
<b>Samsung SDS</b>	Operating and managing computer system	Name, Resident Registration Number, account number, address, contact details, transaction record
<b>Koscom</b>	Receiving reports regarding financial fraud damages	Name, account number, transaction record, etc.
<b>Metanet Mplatform</b>	Inbound response	Name, Resident Registration Number, account number, address, contact number
<b>Weboss</b>	Operating bancassurance system	Name, real number verification number, account number, address, contact details
<b>Nice Information Service</b>	Issuing alternative key value of real name number for real name verification	Resident Registration Number or Foreign Registration Number
<b>CallGate</b>	Phone call-related screen service (Visual ARS, etc.)	Mobile number
<b>SCI Information Service</b>	Mobile identity verification	Name, Resident Registration Number (date of birth, gender), cell phone number
<b>Korea Mobile Certification</b>		Name, date of birth, gender, cell phone number
<b>Korea Financial Investment Association</b>	Transmitting information on access to personal (credit) data to Financial Supervisory Service, Korea Financial Intelligence Unit, Korea Credit Information Services, Korea Federation of Banks, and Korea Financial Investment Association.	Name, Resident Registration Number, account number, etc.
<b>multicampus</b>	Forum operation	Name, date of birth, cell phone number, email address, company name, job title
<b>IPGDXTKOREA</b>	Social service	contact information, SNS ID
<b>danal</b>	identity verification	Name, contact information, date of birth, gender
<b>NHN KCP</b>	identity verification	mobile carrier, contact information, Name, gender, date of birth, CI
<b>BSTONES</b>	homepage operation & management	Name, date of birth, gender, email, address, contact information, mobile carrier
<b>Cheil</b>	YouTube community event & sending gifts	Name, contact information, address
<b>Dartz</b>	Online marketing	Name, resident registration number, contact information, address, account number

<b>onofflink</b>	Shareholder meeting online broadcasting services	Name, date of birth, gender, zip code, contact information, email, business registration number
<b>hrc</b>	customer research	contact information, assets, mydata(Y/N)
<b>Epass korea</b>	Certified Investment Advisor	Name, date of birth, contact information
<b>gleeduck</b>	CVC, VC Forum operation	Name, contact information